

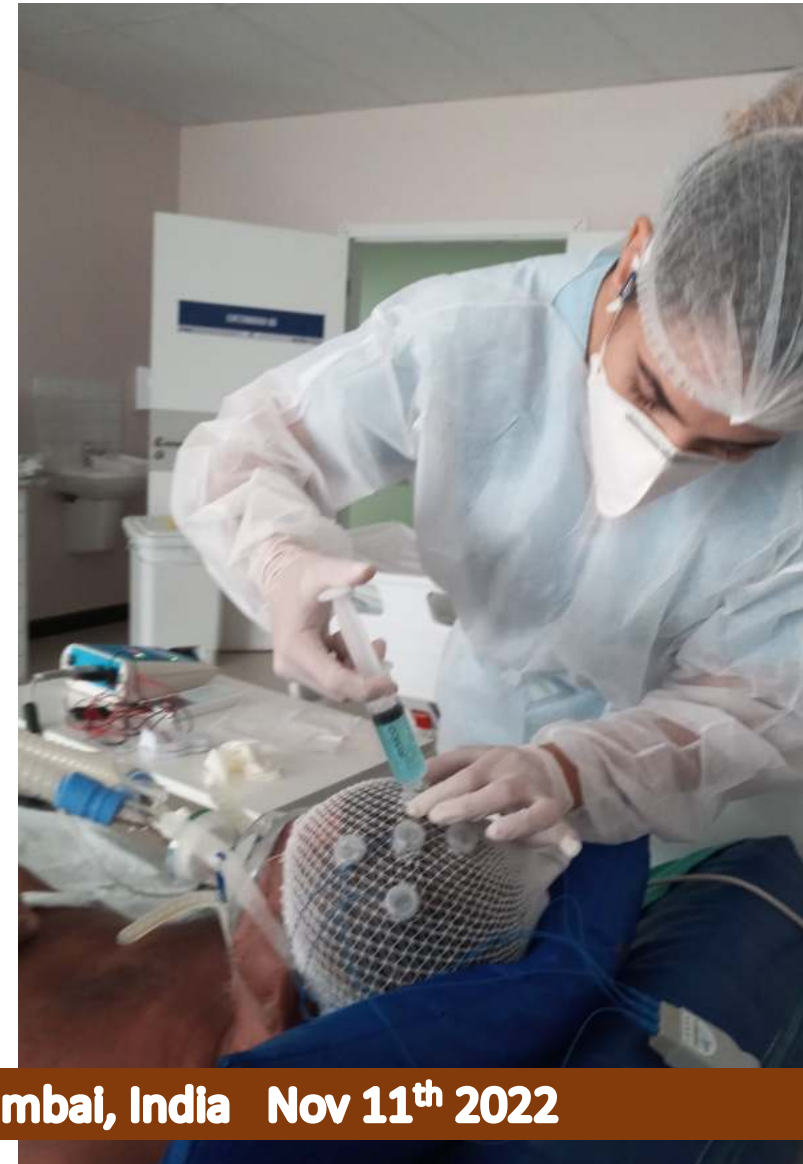
tDCS (and taVNS) for COVID and Long-COVID

: with a focus on how technology
supports targeted trials

Marom Bikson

The City College of New York

Abhishek Datta, Nigel Gebodh, **Bashar Badran**,
Mark George, Abrahão Fontes Baptista, **Leigh
Charvet**, Giuseppina Piloni, **Suellen Marinho
Andrade**, Kevin Walsh



International Neuromodulation Society (INS) Mumbai, India Nov 11th 2022

Disclosure

The City University of New York: Patents on brain stimulation.

Soterix Medical: Produces tDCS and High-Definition tDCS.

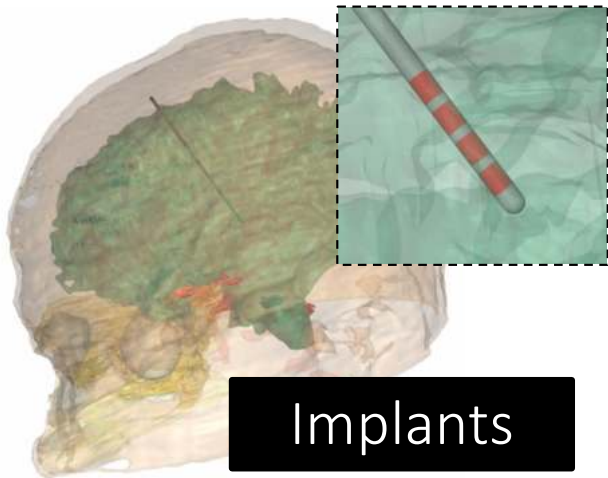
Grants, assigned inventions, and/or serves SAB for SafeToddlers, Boston Scientific, GlaxoSmithKline, Biovisics, Mecta, Lumenis, Halo Neuroscience, Google-X, i-Lumen, Humm, Allergan (Abbvie), Apple

Support

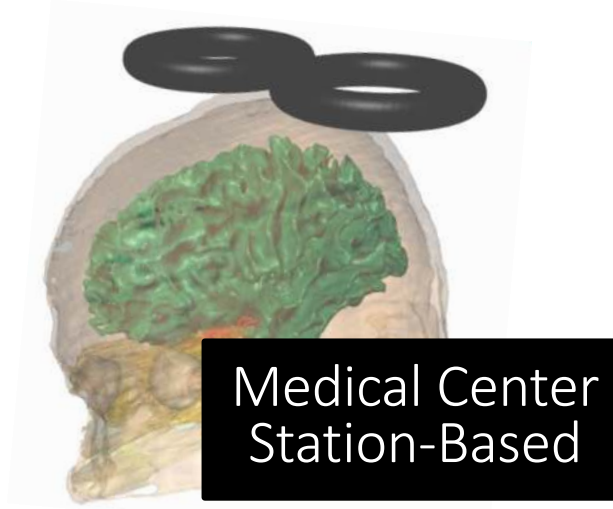
Governo do Estado da Paraíba (Brazil). NYS DOH, NIH (NIMH, NINDS) – *BRAIN Initiative*, NSF, Grove Foundation, Harold Shames, CCNY Fund, 21st Century Fund



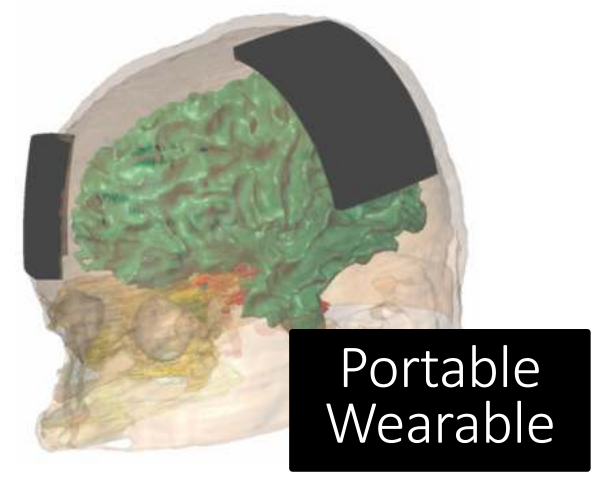
Neuromodulation technologies platforms vary in how energy is delivered to what target.



Deep Brain Stimulation (DBS)
Spinal Cord Stimulation (SCS)
Peripheral Nerve Stimulation (PNS)

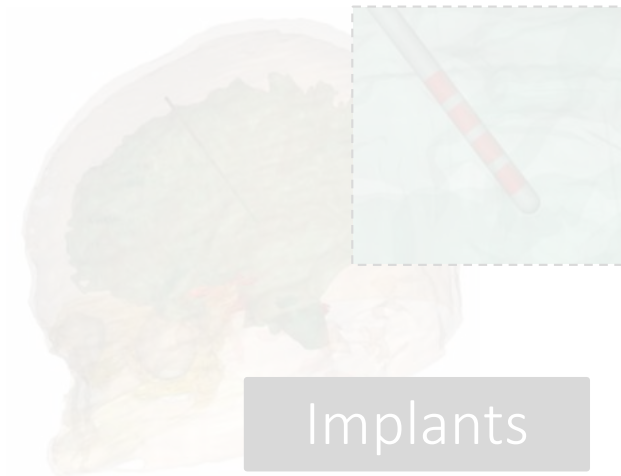


Transcranial Magnetic Stimulation (TMS)
Electroconvulsive Therapy
High-Definition tES (HD-tES)



Transcranial Electrical Stimulation (tES) / tDCS
Non-invasive vagus nerve stimulation / taVNS

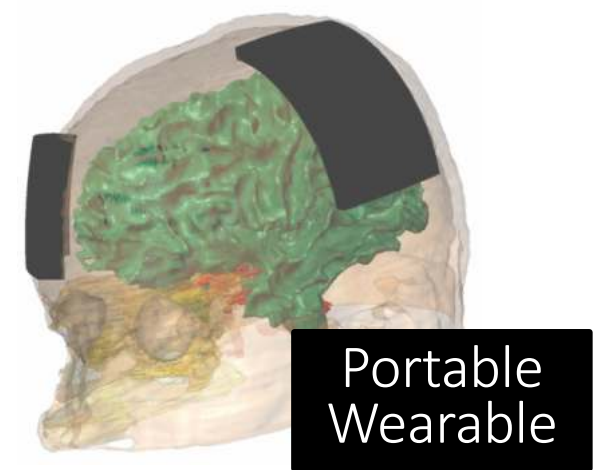
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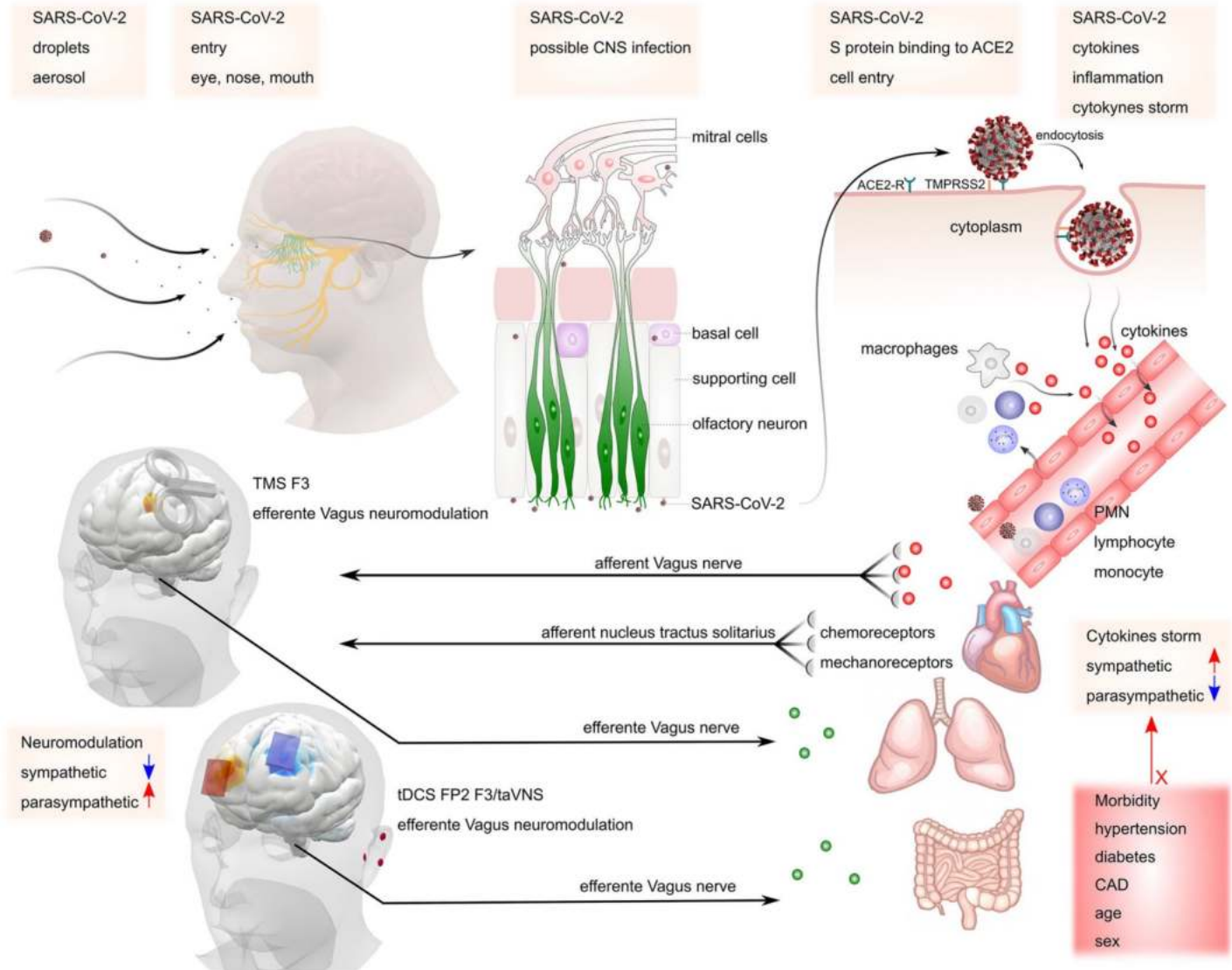
Focus on non-invasive, low-risk, rapidly-deployable, low-cost technology.

Applications of Non-invasive Neuromodulation for the Management of Disorders Related to COVID-19

Abrahão Fontes Baptista^{1,2,3,4*†}, **Adriana Baltar**^{2,5}, **Alexandre Hideki Okano**^{1,2,3,6†}, **Alexandre Moreira**⁷, **Ana Carolina Pinheiro Campos**⁸, **Ana Mércia Fernandes**⁹, **André Russowsky Brunoni**^{10,11}, **Bashar W. Badran**¹², **Clarice Tanaka**^{2,4,13}, **Daniel Ciampi de Andrade**^{2,9}, **Daniel Gomes da Silva Machado**¹⁴, **Edgard Morya**^{15†}, **Eduardo Trujillo**^{1,2}, **Jaiti K. Swami**¹⁶, **Joan A. Camprodon**¹⁷, **Katia Monte-Silva**^{2,18}, **Katia Nunes Sá**^{2,19}, **Isadora Nunes**²⁰, **Juliana Barbosa Goulardins**^{2,4,7,21}, **Marom Bikson**¹⁶, **Pedro Sudbrack-Oliveira**²², **Priscila de Carvalho**¹³, **Rafael Jardim Duarte-Moreira**^{1,2}, **Rosana Lima Pagano**^{8†}, **Samuel Katsuyuki Shinjo**^{23†} and **Yossi Zana**^{1†}

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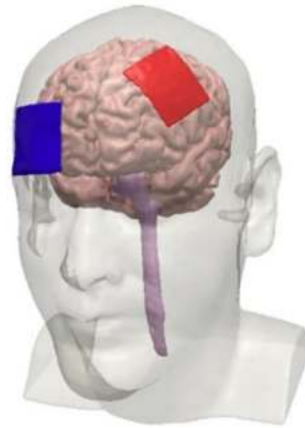
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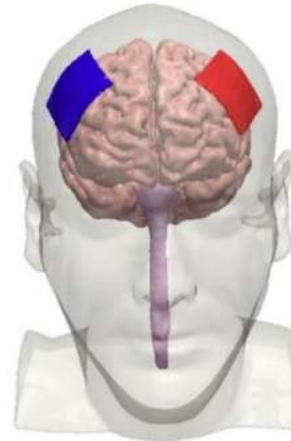
1) Neuromodulation targets etiology of COVID-19.

Pick the right neuromodulation technique based on mechanism of action (hypothesis).

M1-tDCS

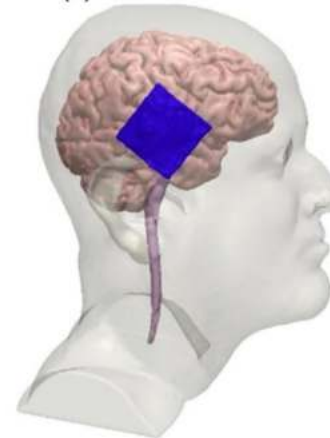


DLPFC-tDCS ("OLE")

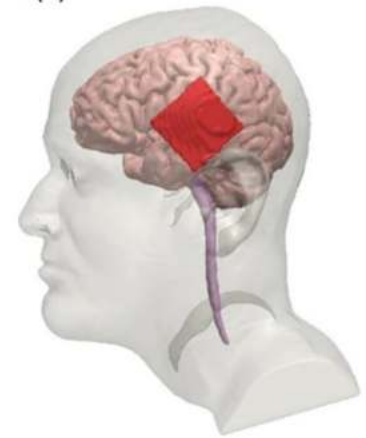


Temporal Cortex

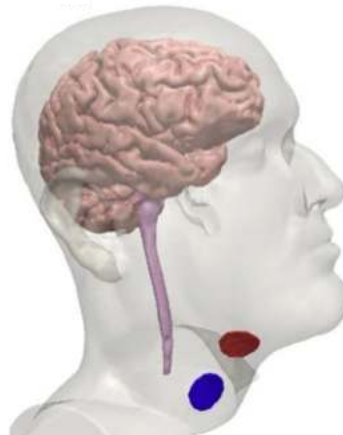
(a)



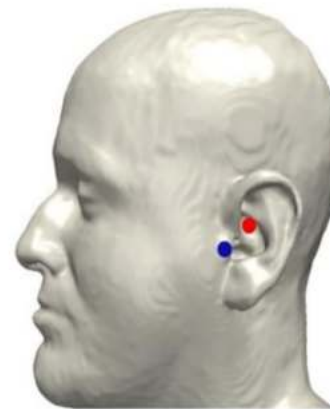
(b)



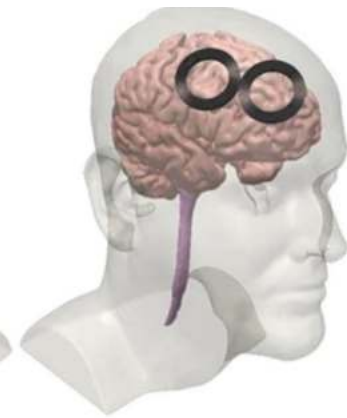
Vagus nerve -
cervical



Vagus nerve -
auricular



Transcranial Magnetic Stimulation
- DLPFC



1) Neuromodulation targets etiology of COVID-19.

2) Neuromodulation treats symptoms of COVID-19.

Decades of trials in non-COVID-19 populations.

Ongoing trials.

Acute

A) Direct infection mitigation through the stimulation of regions involved in the regulation of systemic anti-inflammatory responses and/or autonomic responses.

B) Amelioration of COVID-19 symptoms of musculoskeletal pain and systemic fatigue.

Post-Acute

C) Outbreak-related mental distress including neurological and psychiatric disorders exacerbated by psychosocial stressors related to COVID-19.

D) Augmenting cognitive and physical rehabilitation following critical illness.

X) Long-COVID, Neuro-COVID, Post-Acute Sequelae of SARS-CoV-2 infection (PASC)

Guidelines for TMS/tES clinical services and research through the COVID-19 pandemic

Marom Bikson^a, Colleen A. Hanlon^b, Adam J. Woods^c, Bernadette T. Gillick^d, Leigh Charvet^e, Claus Lamm^f, Graziella Madeo^g, Adrienn Holczer^h, Jorge Almeida^{i,j}, Andrea Antal^{k,l}, Mohammad Reza Ay^m, Chris Baeken^{n,o,p}, Daniel M. Blumberger^{q,r}, Salvatore Campanella^s, Joan A. Camprodon^t, Lasse Christiansen^u, Colleen Loo^v, Jennifer T. Crinion^w, Paul Fitzgerald^x, Luigi Gallimberti^g, Peyman Ghobadi-Azbari^{y,z}, Iman Ghodratoostani^{aa}, Roland H. Grabner^{ab}, Gesa Hartwigsen^{ac}, Akimasa Hirata^{ad}, Adam Kirton^{ae}, Helena Knotkova^{af,ag}, Evgeny Krupitsky^{ah}, Paola Marangolo^{ai,aj}, Ester M. Nakamura-Palacios^{ak}, Weronika Potok^{al}, Samir K. Praharaaj^{am}, Christian C. Ruff^{an}, Gottfried Schlaug^{ao}, Hartwig R. Siebner^{u,ap}, Charlotte J. Stagg^{aq}, Axel Thielscher^{u,ar}, Nicole Wenderoth^{al}, Ti-Fei Yuan^{as}, Xiaochu Zhang^{at}, Hamed Ekhtiari^{au,*}

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^d Department of Rehabilitation Medicine, School of Medicine, University of Minnesota, MN, Minneapolis, USA

^e Department of Neurology, NYU Grossman School of Medicine, New York, NY, USA

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^w Institute of Cognitive Neuroscience, University College London, London, UK

^x Epworth Centre for Innovation in Mental Health, Epworth HealthCare and Department of Psychiatry, Monash University, Camberwell, Victoria, Australia

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^{aj} Aphasia Research Lab, IRCCS Santa Lucia Foundation, Rome, Italy

^{ak} Department of Physiological Sciences, Federal University of Espírito Santo, Vitória, ES, Brazil

^{al} Neural Control of Movement Lab, Department of Health Science and Technology, ETH Zurich, Switzerland

^{am} Department of Psychiatry, Kasturba Medical College, Manipal, Manipal Academy of Higher Education, Manipal, India

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^{au} Laureate Institute for Brain Research, Tulsa, OK, USA

- Neuromodulation trials / treatment can and *should* continue through COVID-19.
- Home-based trials continued / expanded with modification (eg. 100% contactless)

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2) Neuromodulation treats symptoms of COVID-19.

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Home transcranial Direct Current Stimulation (tDCS) for Depression +

- Accelerated by limited in-clinical treatments (rTMS, ECT) during COVID-19 lockdown
- Intent-to-treat / RCTs
- Device: Remote control of dosing and compliance monitoring (Remote-Supervised)

Charvet et al. Remotely-supervised transcranial direct current stimulation (tDCS) for clinical trials: guidelines for technology and protocols. 2015



Trials ongoing

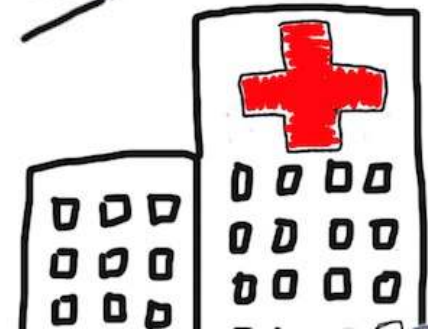
Expertise of operator

Home-use

Self application
or Supervised

Clinic

Trained operator



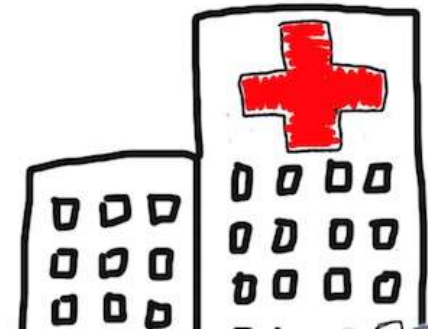
Medical center or University

Charvet et al. Remotely-supervised transcranial direct current stimulation (tDCS) for clinical trials: guidelines for technology and protocols. Frontiers. 2015

Increased automation

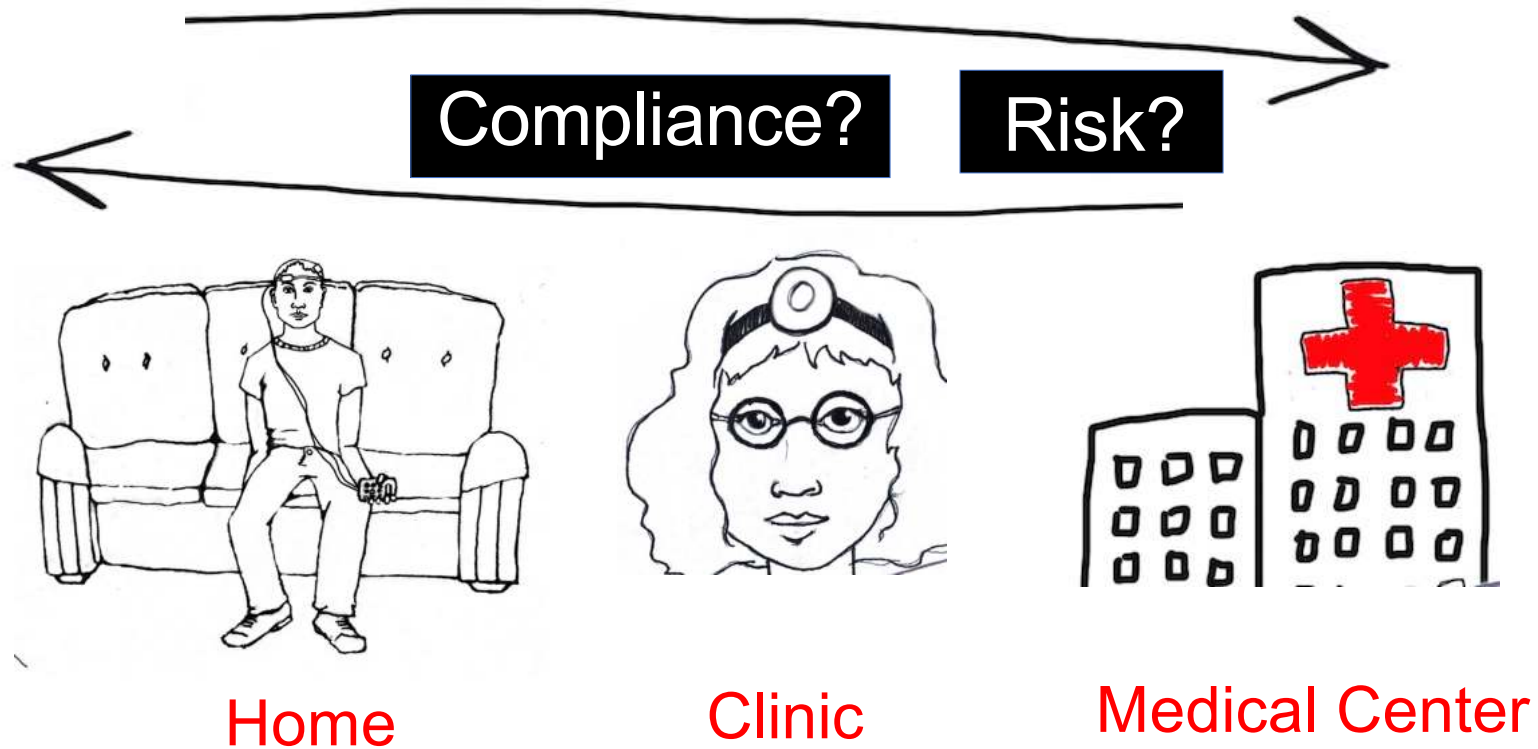
Home: Fully automatic,
No flexibility

Clinic: Semi-automatic
Some flexibility



Medical Center: Customization, flexibility,
integration with other equipment

Remote-supervised tDCS is a guidance to ensure compliance and tolerability. tDCS is not self-directed. The guidance is flexible to use-cases.



Charvet et al. Remotely-supervised transcranial direct current stimulation (tDCS) for clinical trials: guidelines for technology and protocols. *Frontiers*. 2015

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- Accelerated by limited in-clinical treatments (rTMS, ECT) during COVID-19 lockdown
- Intent-to-treat / RCTs
- Device: Remote control of dosing and compliance monitoring (**Remote-Supervised**)
- **100% Remote: Contactless.** New York University (NYU) hospital
- Mix of automated symptom monitoring and telemedicine.
- Part of high throughout remote-supervised tDCS program at NYU Langone hospital (Department of Neurology)

Charvet et al. Remotely-supervised transcranial direct current stimulation (tDCS) for clinical trials: guidelines for technology and protocols. 2015

Charvet et al. Tolerability and feasibility of at-home remotely supervised transcranial direct current stimulation (RS-tDCS): Single-center evidence from 6,779 sessions. *Brain Stim.* 2022



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Contents lists available at ScienceDirect

Brain Stimulation

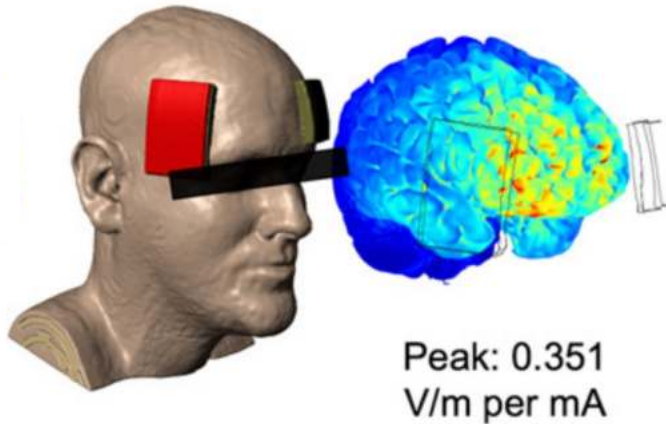
journal homepage: <http://www.journals.elsevier.com/brain-stimulation>



Telehealth transcranial direct current stimulation for recovery from Post-Acute Sequelae of SARS-CoV-2 (PASC)

Tehila Eilam-Stock, Allan George, Matthew Lustberg,
Robyn Wolintz, Lauren B. Krupp, Leigh E. Charvet*

Department of Neurology, New York University Langone Health, New York, NY, USA



tDCS current flow modeling from Seibt *et al* Brain Stim 2015

Patient 1: A 42-year-old, right-handed, Black woman presented for tDCS clinical treatment of PASC in 01/2021, approximately nine months following COVID-19 illness. She continued to experience fatigue, cognitive impairment, anxiety and depression, dyspnea, sleep disturbances, and numbness sensation in the right side of her face.

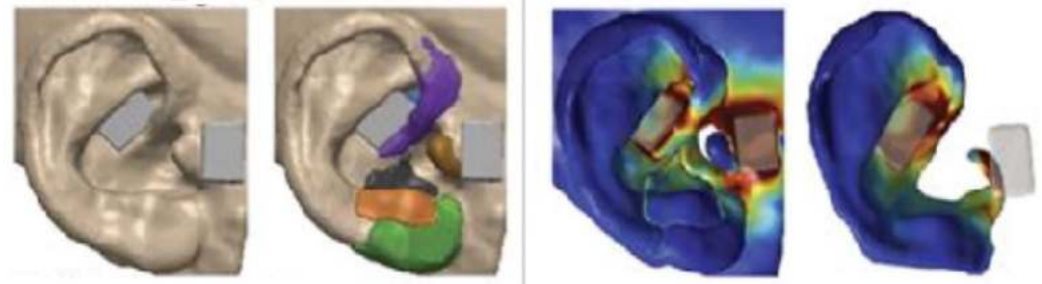
Patient started her tDCS treatment in January 2021. A comparison between initial (08/2020) and repeat (02/2021) neuropsychological evaluations following 4 weeks of treatment (15 sessions) demonstrated significant improvements (≥ 1 SD) in visual attention and processing speed, timed verbal fluency, and cognitive flexibility. Speeded fine motor dexterity was also improved in her left hand (and remained intact in her right hand). She also reported clinically significant improvements in cognitive functioning,

To develop effective and personalized PASC treatments: Neuromodulation should be objective biomarker guided (target engagement)

increased, indicating her approaching re- within normal limits. improvements across course of treatment, increasing her work
The woman presented for tDCS clinical treatment of PASC in November 2020, approximately seven months following COVID-19 illness. She experienced a constellation of persisting symptoms, including marked fatigue and “brain fog,” emotional dysregulation, intermittent numbness in her extremities, and pain.

Transcutaneous auricular Vagus Nerve Stimulation (taVNS) for PASC

- Post-COVID subjects who experience any new and lasting neurological or psychiatric symptom. **Very broad inclusion.**
- taVNS dual action: parasympathetic (anti-inflammatory) + direct brain (restorative)
- Device: Remote control of dosing and compliance monitoring. **Contactless**
- Integrated remote real-time physiology monitoring (HR, pressure, oximeter)
- Mix automated (digital healthcare) symptom monitoring and telemedicine
- **Mild/moderate efficacy. Critical to distinguish symptoms.**



taVNS current flow modeling from Kreisberg *et al* Brain Stim 2021



Badran et al. A pilot randomized controlled trial of supervised, at-home, self-administered transcutaneous auricular vagus nerve stimulation (taVNS) to manage long COVID symptoms. *Bioelecton Med.* 2022

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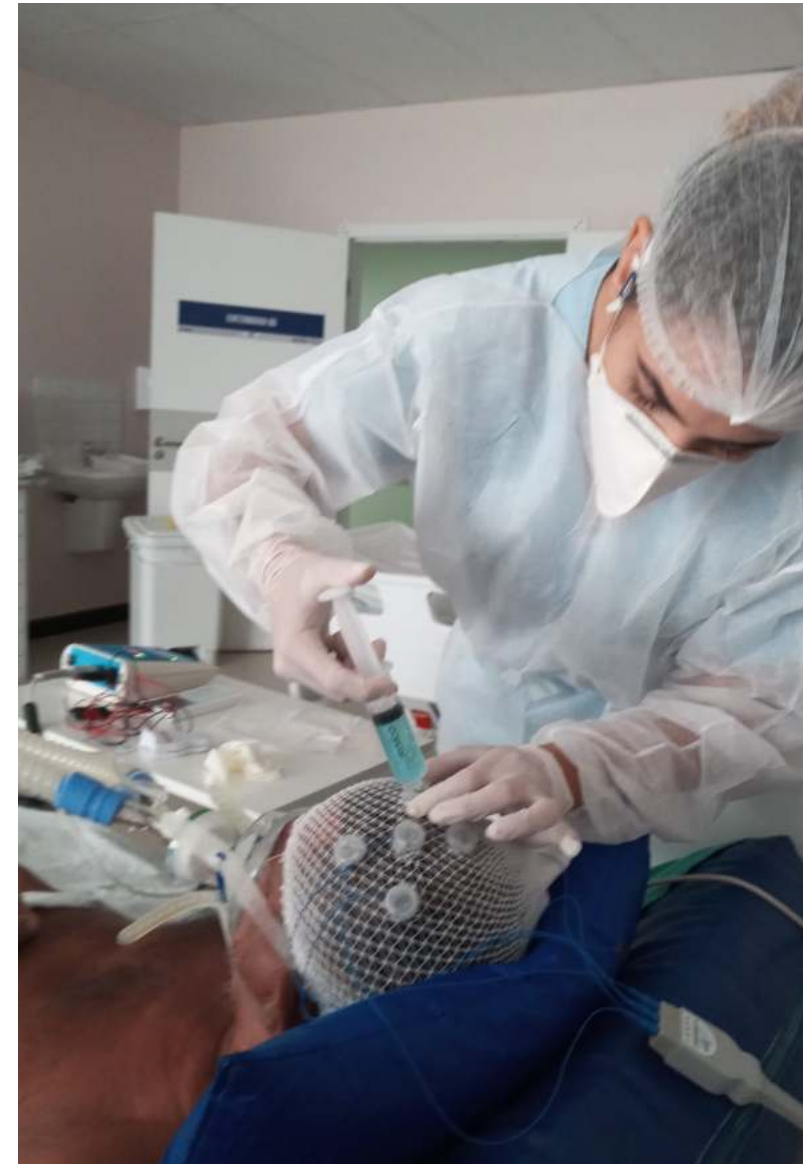
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HD-tDCS to reduce duration of mechanical ventilation in COVID-19 ICU

- COVID-19 patients admitted to the ICU (Paraíba, Brazil). RCT (n=56).
- 3 mA High-Definition tDCS (HD-tDCS) to allow targeting of cortical region (rapid deployment disposable, head-gear version)
- Left (diaphragmatic) primary motor cortex, 10 sessions (twice daily), paired with pulmonary rehabilitation.
- Ventilatory weaning in patients by improving respiratory performance (decreases in mechanical ventilation days during 28 days).

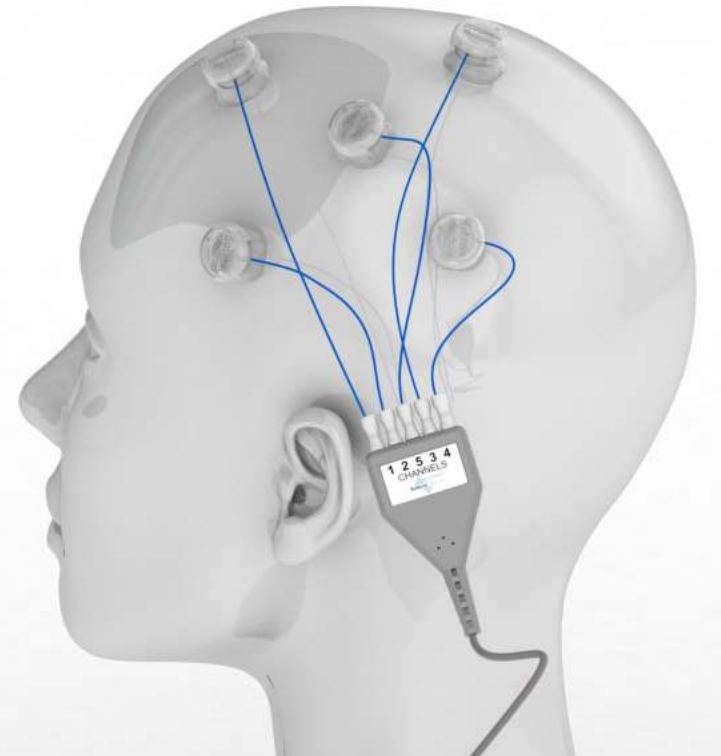
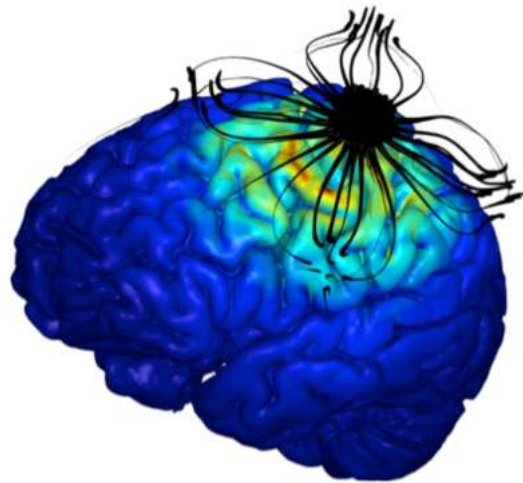
Suellen Andrade et al. Efficacy and safety of HD-tDCS and respiratory rehabilitation for critically ill patients with COVID-19 The HD-RECOVERY randomized clinical trial. Brain Stimulation 2022



High-Definition tDCS (HD-tDCS) uniquely combines features:

- 1) Non-invasive
- 2) Directional modulation of excitability (anode=increased function)
- 3) Targeted to any cortical region (e.g., motor)
- 4) Portable and deployable (battery powered)
- 5) Safe and tolerated, across diverse patients

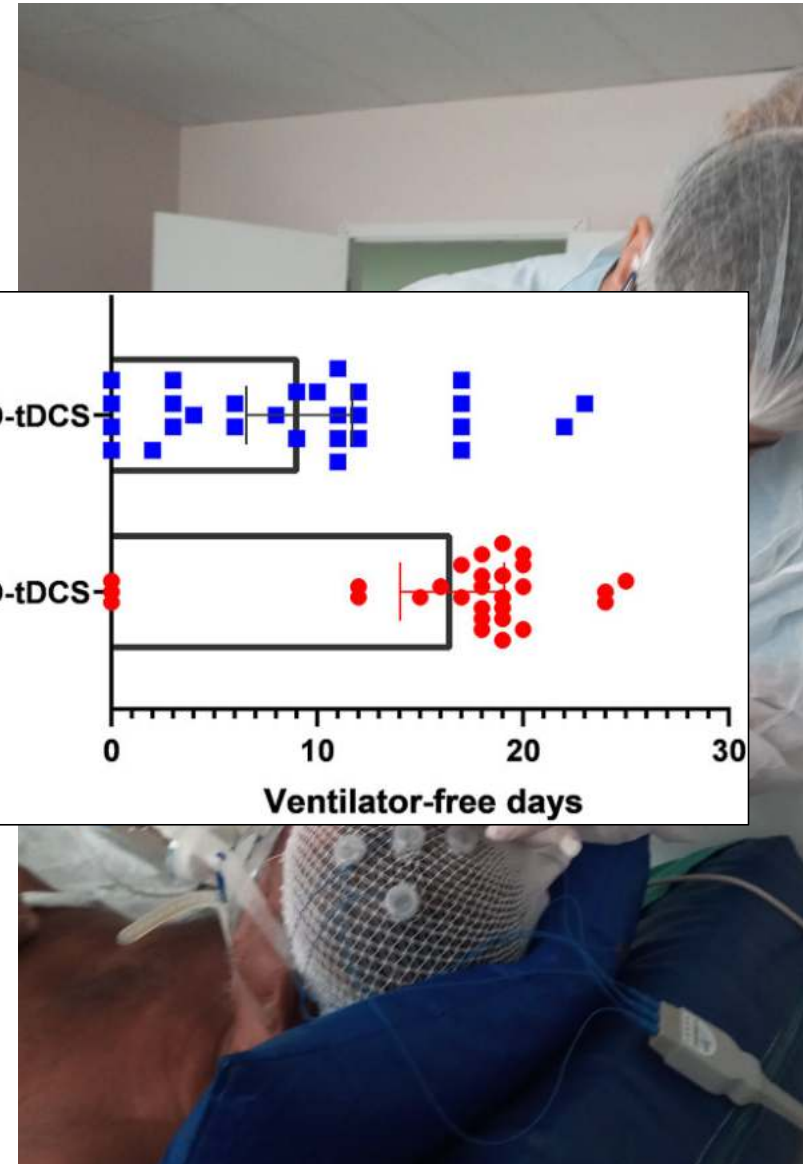
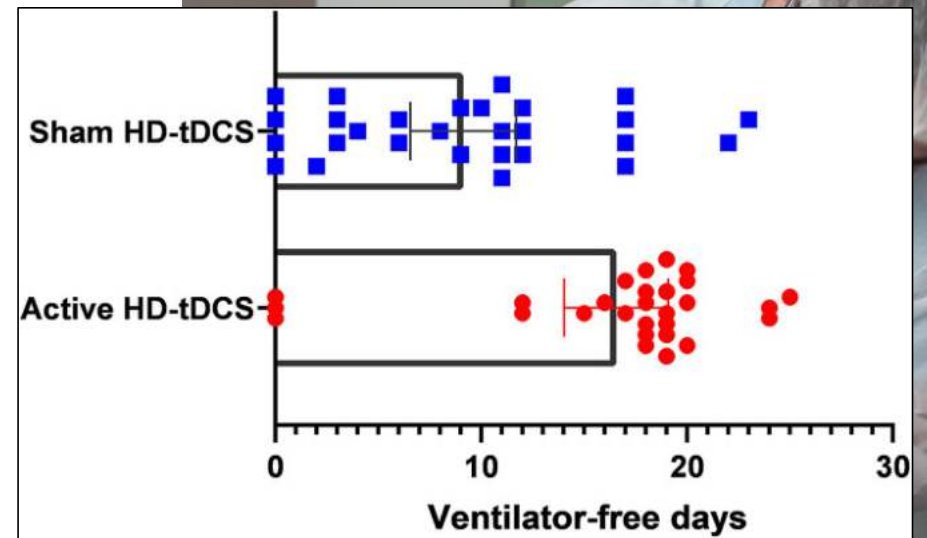
Datta et al. Gyri-precise head model of transcranial direct current stimulation: improved spatial focality using a ring electrode versus conventional rectangular pad. Brain Stimulation 2009



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- Left (diaphragmatic) primary motor cortex, 10 sessions (twice daily), paired with pulmonary rehabilitation.
- **Ventilatory weaning in patients by improving respiratory performance (decreases in mechanical ventilation days during 28 days).**
- Significantly reduced days on ventilation also improves delirium, organ failure, hospital length of stay

Suellen Andrade et al. Efficacy and safety of HD-tDCS and respiratory rehabilitation for critically ill patients with COVID-19 The HD-RECOVERY randomized clinical trial. Brain Stimulation 2022



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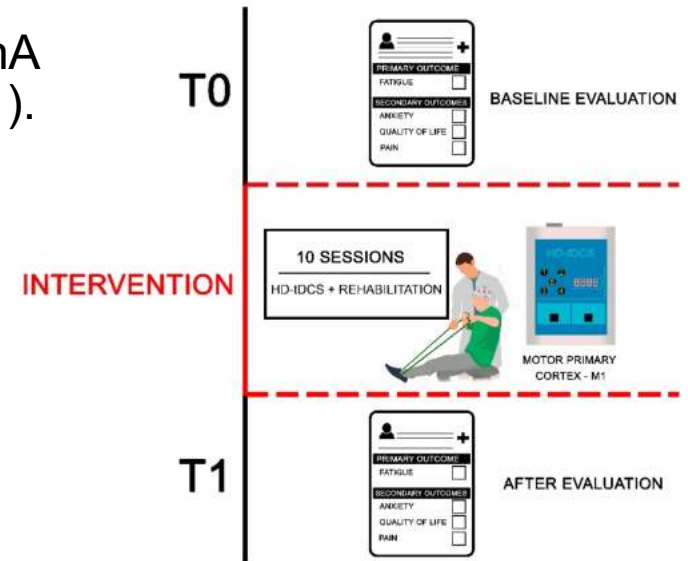
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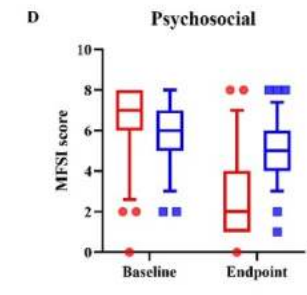
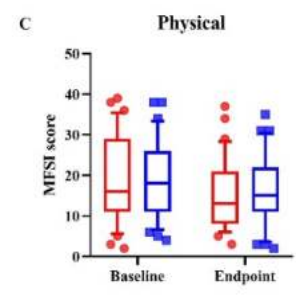
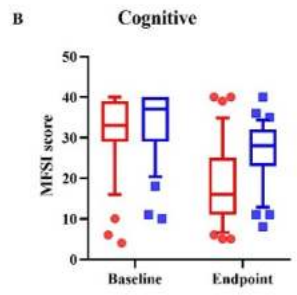
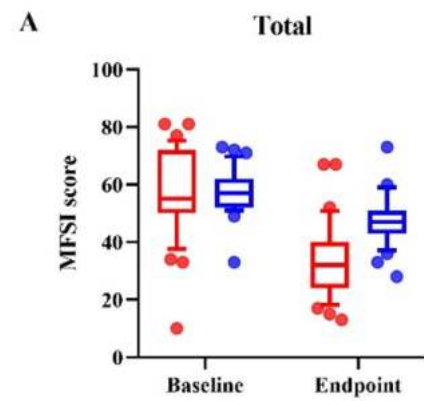
High Definition-tDCS (HD-tDCS) to reduce chronic fatigue in PASC

- 70 patients with PASC-related fatigue, randomized to 3 mA or sham HD-tDCS targeting the primary motor cortex (M1).
- 10 sessions of HD-tDCS paired with rehabilitation. **Both brain stimulation and rehabilitation targeted to inclusion/symptoms.**
- Fatigue as primary outcome
- Significant reduction in fatigue. Mean improvement for active HD-tDCS: 22.11 Modified Fatigue Impact Scale (MFIS).



Active HD-tDCS + Rehabilitation
 Sham HD-tDCS + Rehabilitation

Suellen Andrade et al. Non-invasive Brain Stimulation for fatigue in Post-Acute Sequelae of SARS-CoV-2 (PASC) . In review



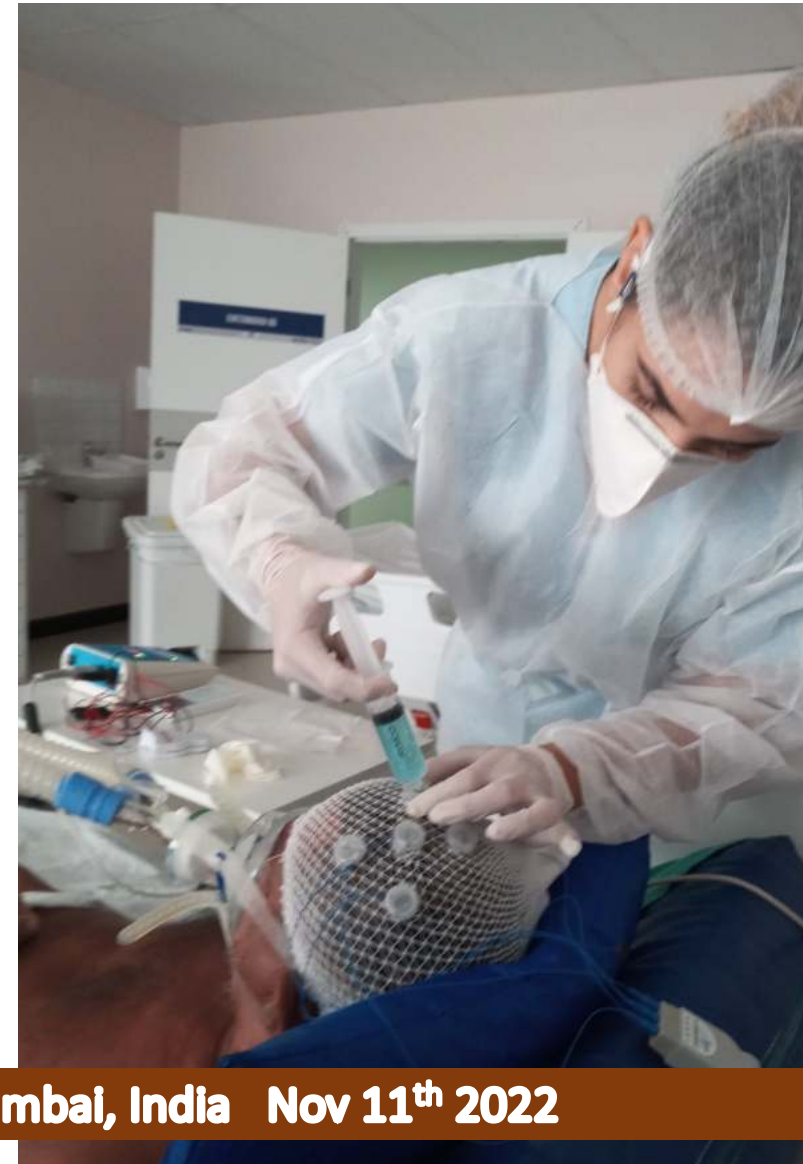
tDCS (and taVNS) for COVID and Long-COVID

: with a focus on how technology
supports targeted trials

Marom Bikson

The City College of New York

Abhishek Datta, Nigel Gebodh, **Bashar Badran**,
Mark George, Abrahão Fontes Baptista, **Leigh
Charvet**, Giuseppina Piloni, **Suellen Marinho
Andrade**, Kevin Walsh



International Neuromodulation Society (INS) Mumbai, India Nov 11th 2022